



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

YEN-KUANG CHEN, ET AL.

Application No.: 09/676,556

Filed: September 30, 2000

For: **AN EFFICIENT IMPLEMENTATION  
OF N-POINT DCT, N-POINT IDCT,  
SA-DCT AND SA-IDCT ALGORITHMS**

Art Group: 2121

Examiner: Not Yet Assigned

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**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

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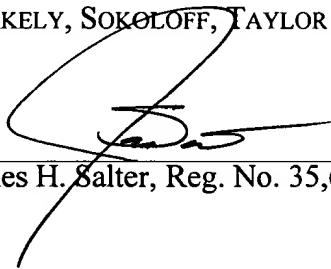
OCT 11 2002

Technology Center 2100

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

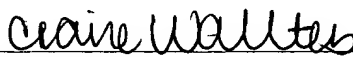
Date: October 04, 2002

  
James H. Salter, Reg. No. 35,668

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025  
(408) 720-8300

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

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Claire Wallters

10-04-02

Date



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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$ ) 0

## Complete if Known

Application Number 09/676,556  
Filing Date September 30, 2000  
First Named Inventor Yen-Kuang Chen  
Examiner Name Not Yet Assigned  
Group/Art Unit 2121  
Attorney Docket No. 42390P8657

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## METHOD OF PAYMENT (check one)

- ☐ Check ☐ Credit card ☐ Money Order ☐ Other ☒ None  
☐ Deposit Account

Deposit Account Number

02-2666

Deposit Account Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

- ☐ Charge fee(s) indicated below ☐ Credit any overpayments  
☒ Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	0
106	330	206	165	Design filing fee	0
107	510	207	255	Plant filing fee	0
108	740	208	370	Reissue filing fee	0
114	160	214	80	Provisional filing fee	0
SUBTOTAL (1)					0

### 2. EXTRA CLAIM FEES

Total Claims  - 0\* =  0 X  0 =  0  
Independent Claims  - 0 =  0 X  0 =  0  
Multiple Dependent  - 0 =  0 X  0 =  0

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	0
102	84	202	42	Independent claims in excess of 3	0
104	280	204	140	Multiple Dependent claim, if not paid	0
109	84	209	42	**Reissue independent claims over original patent	0
110	18	210	9	**Reissue claims in excess of 20 and over original patent	0
SUBTOTAL (2)					0

\*or number previously paid, if greater. For Reissues, see below

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	0
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	0
139	130	139	130	Non-English specification	0
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	0
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	0
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	0
115	110	215	55	Extension for reply within first month	0
116	400	216	200	Extension for reply within second month	0
117	920	217	460	Extension for reply within third month	0
118	1,440	218	720	Extension for reply within fourth month	0
128	1,960	228	980	Extension for reply within fifth month	0
119	320	219	160	Notice of Appeal	0
120	320	220	160	Filing a brief in support of an appeal	0
121	280	221	140	Request for oral hearing	0
138	1,510	138	1,510	Petition to institute a public use proceeding	0
140	110	240	55	Petition to revive - unavoidable	0
141	1,280	241	640	Petition to revive - unintentional	0
142	1,280	242	640	Utility issue fee (or reissue)	0
143	460	243	230	Design issue fee	0
144	620	244	310	Plant issue fee	0
122	130	122	130	Petitions to the Commissioner	0
123	50	123	50	Processing fee under 37 CFR 1.17(q)	0
126	180	126	180	Submission of Information Disclosure Stmt	0
581	40	581	40	Recording each patent assignment per property (times number of properties)	0
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	0
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	0
179	740	279	370	Request for Continued Examination (RCE)	0
169	900	169	900	Request for expedited examination of a design application	0

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

0

## SUBMITTED BY

Name (Print/Type)

James H. Salter

Registration No.  
(Attorney/Agent)

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Telephone

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Signature

Date

10/04/02

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.